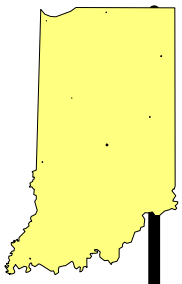


INDIANA

STATE PREVENTION SYSTEM

Structure and Organization

Indiana's State Bureau of Prevention is located within the Office of Public Policy, a part of the Division of Mental Health (DMH) of the Indiana Family and Social Services Administration (FSSA). Indiana has a regional State prevention system featuring a State Prevention Director, a Prevention Resource Center, ten Regional Coordinating Organizations (RCOs), 14 Designated Service Areas (i.e., substate regions), and 92 Local Coordinating Councils (LCCs) at the county level. Substance abuse prevention services are not a separate entity within DMH, but are collaborated with other prevention services provided throughout FSSA, such as child abuse prevention, school dropout prevention, and several anti-poverty programs. The prevention staff within DMH consist of the Bureau Chief, a full-time prevention specialist, and staff support.

Indiana funds two main statewide prevention coordination organizations:

- The Indiana Prevention Resource Center (IPRC)
- A statewide network of ten Regional Coordination Organizations (RCOs), 14 Designated Service Areas, and 92 Local Coordinating Councils (LCCs).

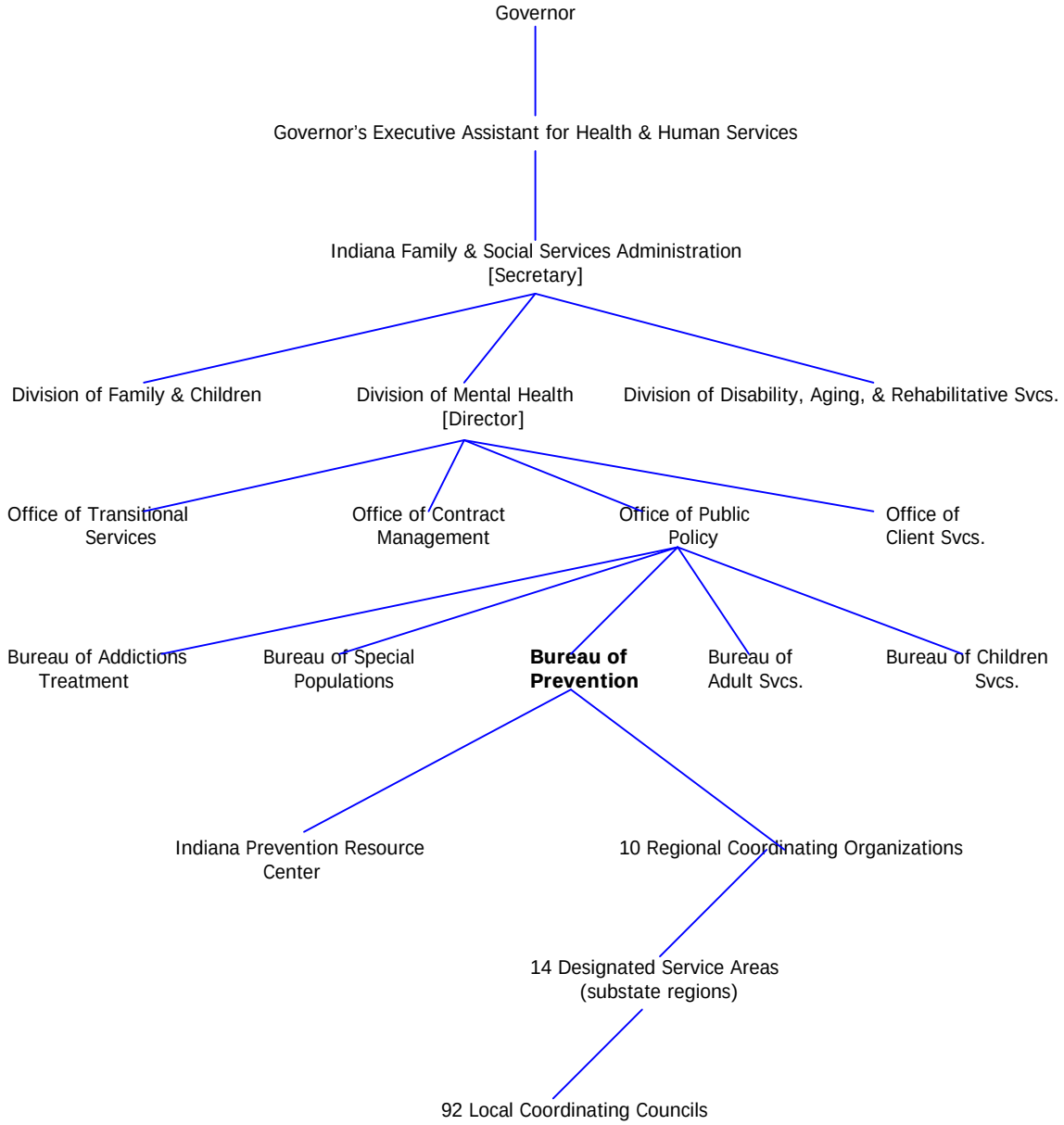
The IPRC, located at Indiana University, Bloomington, is considered the hub of Indiana's prevention network. IPRC operates RADAR, an on-line reference library, and a free lending library. IPRC offers a significant amount of technical assistance to local prevention programs, including the provision of demographic data, and the maintenance of a World Wide Web site (http://www.drugs.indiana.edu).

The role of the RCOs is to coordinate community development of broad-based alliances and coalitions to combat substance abuse. The LCCs, operating under the aegis of the RCOs, develop and submit plans annually outlining local prevention priorities, and – through local user fees – fund prevention initiatives within their respective counties.

DMH is designated to receive and administer the SAPT Block Grant. DMH does not directly deliver ATOD prevention services. Instead, it purchases services through annual competitive contracts and through allocations to government umbrella agencies that either purchase or directly provide services.

Local prevention services are based on a managed care approach that emphasizes the importance of collaboration and consistent long-term efforts. State agencies and local governments are encouraged and expected to assume responsibility for planning and implementing prevention services. Primary responsibility for prevention services rests with the communities at risk for alcohol, tobacco, and other drug (ATOD) abuse. Service providers are held accountable through consumer-based performance contracts that underscore feedback from clients who use their services.

Organizational Chart



FUNDING AND RESOURCES

Year (FFY)	State Funding	SAPT Funding	20% Set-aside
1993	$0*	$18,680,253	$3,704,102**
1994	0*	20,635,226	4,102,604**
1995	0*	28,984,430	5,820,585

* See Endnotes.

Allocation of Funds

CSAP Strategy	FFY 1993	FFY 1994	FFY 1995
Information Dissemination	$640,810	$738,469	$1,035,495
Education	1,307,552	1,969,250	2,769,075
Alternatives	333,360	533,339	750,443
Problem Identification and Referral	77,786	164,104	279,234
Environmental	166,688	205,130	290,869
Community-based Process	1,177,906	492,312	692,269
Other	0	0	3,200***

**Although the amount reported by the State is less than the required 20%, subsequent review by State Auditors has shown that the prevention set-aside was met.
***Synar Amendment compliance.

Resource Spending	FFY 1993	FFY 1994	FFY 1995
Planning, Coordination, and Needs Assessment	N/A*	N/A	N/A
Quality Assurance	N/A	N/A	N/A
Training (post-employment)	N/A	N/A	N/A
Education (pre-employment)	N/A	N/A	N/A
Program Development	N/A	N/A	N/A
Research and Evaluation	N/A	N/A	N/A
Information Systems	N/A	N/A	N/A

*Expenditures on substance abuse resource development were not broken out by prevention and treatment categories.

Substate entities receiving set-aside funds for prevention service delivery

- 1 Prevention Resource Center
- 10 Regional Coordinating Organizations
- 14 Designated Service Areas
- 92 Local Coordinating Councils

Average amount of grant/contract:

- FFY 1993 - $112,246
- FFY 1994 - $120,665
- FFY 1995 – $126,465

Per-capita 20% set-aside spending (population):

- FFY 1993 - $0.65
- FFY 1994 - $0.71
- FFY 1995 - $1.03

Staff/Volunteers designated and supported by set-aside funding and level:

- FFY 1993 -
  - State: N/A*
  - Regional: N/A
  - Local: N/A
- FFY 1994 -
  - State: N/A
  - Regional: N/A
  - Local: N/A
- FFY 1995 -
  - State: N/A
  - Regional: N/A
  - Local: N/A

*Data not available from State.

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PROGRAMS AND SERVICES

Definition of Prevention:

Prevention is a proactive process that promotes constructive lifestyles and norms that discourage drug use. The process includes social and physical environments that facilitate drug-free lifestyles. The process includes the application of multiple strategies. Prevention is an ongoing process that must relate to each emerging generation.

Does the State have prevention plan?
Currently the prevention plan is incorporated into the overall strategic plan of the Division of Mental Health. It is updated every two years.

Target populations for prevention services:

- Children of substance abusers
- Pregnant women/teens
- Youth ages 10-14
- Persons exhibiting mental health problems
- Economically disadvantaged persons
- Physically disabled persons
- Homeless/runaway youth

Total Number served:

- FFY 1993 – 68,253
- FFY 1994 – 31,973
- FFY 1995 – 48,602

Programs funded:

Type	Number of Programs/Number Served			Programs
	FFY 1993	FFY 1994	FFY 1995	
Information dissemination	N/A*	N/A	N/A	RADAR; newsletter; Internet; statewide Prevention Resource Center
Education	N/A	N/A	N/A	Adult mentoring activities; parenting and family management; peer leader/helper activities
Alternatives	28/N/A	13/N/A	N/A	"Family Model" program; Indiana Teen Institute; Indiana Middle Level Leadership Institute; substance-free activities for youth; statewide youth conference
Community-based process	N/A	N/A	N/A	Step Ahead Councils
Environmental	N/A	N/A	N/A	Interagency Council on Drugs; Step Ahead Councils
Problem identification and referral	N/A	N/A	N/A	Employee assistance programs; student assistance programs; primary prevention programs; contractor referrals

*Data not available from State.

DATA COLLECTION ACTIVITIES

Results currently available on prevention programs funded by the 20% set-aside (including needs assessments and data collection):

Beginning in FFY 1994, participant-centered outcome measurements were required of local prevention providers as a stipulation of their contracts. Data are collected via two instruments: pre/post test instruments given to program participants, and annual school surveys. Data are collected on prevalence of smoking/smokeless tobacco use, alcohol consumption, and illicit drug use (by drug). Information is also captured on perceived risks and peer attitudes. Using unique identifiers, evaluators are able to track participants utilizing both the pre/post test questionnaires and the school surveys. Evaluation of these outcomes is provided by the Indiana Prevention Resource Center.

Since implementing this outcomes-based system, the State has been able to show some success in its prevention programs.

Needs assessment occurs at the county level in Indiana, and is the responsibility of the LCCs. The LCCs develop and submit annual plans to DMH; these plans describe existing ATOD abuse prevention services, identify service gaps, and articulate local priorities for preventing ATOD abuse.

Data used to conduct needs assessments include:

- Criminal justice data, including data on DWI offenses and drug-related arrests.
- Health surveillance data on communicable diseases.
- Data on intravenous drug users in treatment who report sharing needles.

SUPPORT SERVICES

Training and Technical Assistance:

Statewide technical assistance in the prevention arena is the domain of the Indiana Prevention Resource Center (IPRC), based at Indiana University in Bloomington. IPRC operates RADAR, an on-line reference library, and a free lending library. IPRC is accessible by electronic mail, telecommunications, facsimile, and it maintains a toll-free statewide telephone number.

IPRC provides technical assistance in grant writing by assisting prevention programs with all stages of the grant development process (e.g., concept development, typing, mailing). It provides background research, and its sophisticated demographic software program provides data about specific populations or geographic areas utilizing 1990 U.S. Census data. The staff of IPRC offer experience and training in public health prevention, library science, grant writing, evaluation, statistical analysis, and information systems. The relationship with and access to resources of a large State university help facilitate IPRC's execution of its mission and services.

Certification Activities:

Certification for prevention professionals in Indiana is not directly funded by the 20% set-aside, and is not required by the State for prevention personnel. DMH does use 20% set-aside funds to support activities for preparation and maintenance of certification. Training to achieve the levels of certification is offered by multiple universities in the State and by multiple distance-learning media.

Certification in Indiana is offered through the Indiana Association of Prevention Professionals. Two levels of certification are offered:

- Qualified Prevention Professional
- Certified Prevention Professional

Endnotes

The State of Indiana was able to account for State funds used for primary AOD prevention from the Department of Education and alcohol countermeasure fees (which refer to that portion of fines imposed for DUI offenses). These funds were as follows:

FFY 1993	$1,778,045
FFY 1994	$2,218,045
FFY 1995	$2,342,727